



32692

PATENT TRADEMARK OFFICE

Patent  
Case No.: 53852US013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.  
Application No.: 09/890482 Group Art Unit:  
Filed: July 26, 2001 Examiner:  
Title: INFRARED INTERFERENCE FILTER

**REQUEST AND FEE TO AMEND INVENTORSHIP UNDER 37 C.F.R. § 1.48(c)**

Mail Stop: PCT  
Commissioner for Patents  
**ATTENTION: PCT LEGAL OFFICE**  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Mail Stop: PCT, Assistant Commissioner for Patents, Attention: PCT Legal Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
<u>May 2, 2003</u> Date	<u>Jay R. Pralle</u> Signed by:
Express Mail No.: EV 182335743 US	

Dear Sir:

Applicants hereby request that Michael F. Weber, John A. Wheatley, and Roger J. Strharsky be added as inventors in the above-mentioned application. Also included herewith is:

1. Statement of Added Inventors Michael F. Weber, John A. Wheatley, and Roger J. Strharsky Under 37 C.F.R. § 1.48(c)(2);
2. Declaration, Power of Attorney, and Petition executed by the inventors as required by 37 C.F.R. § 1.48(a)(3); and
3. Consent of Assignee to Change of Inventorship Under 37 C.F.R. § 1.48(c)(5).

Please charge the fee provided in 37 C.F.R. § 1.17(i) to Deposit Account No. 13-3723. Please also charge any additional fees or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked DUPLICATE is also enclosed.

May 2, 2003  
Date

Respectfully submitted,

By:

Jay R. Pralle  
Jay R. Pralle, Reg. No.: 52,131  
Telephone No.: (651) 733-6750

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: OUDERKIRK, ANDREW J.  
Application No.: 09/890482 Group Art Unit:  
Filed: July 26, 2001 Examiner:  
Title: INFRARED INTERFERENCE FILTER

**STATEMENT OF ADDED INVENTOR IN SUPPORT OF REQUEST  
UNDER 37 C.F.R. § 1.48(c) TO CORRECT INVENTORSHIP**

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<u>May 2, 2003</u> Date	<u>[Signature]</u> Signed by
Express Mail No.: EV 182335743 US	

Dear Sir:

I, Roger J. Strharsky, one of the three inventors being added to the above-identified application, do hereby declare that my addition is necessitated by amendment of the claims and that the inventorship error occurred without any deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: April 29, 2003

[Signature]  
Roger J. Strharsky

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427  
Facsimile: (651) 736-3833



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Patent  
Case No.: 53852US013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.  
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<u>May 2, 2003</u>	<u>J. R. Paul</u>
Date	Signed by:
Express Mail No.: EV 182335743 US	

Dear Sir:

I, Michael F. Weber, one of three inventors being added to the above-identified application, do hereby declare that my addition is necessitated by amendment of the claims and that the inventorship error occurred without any deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: April 29, 2003

Michael F. Weber

Michael F. Weber

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427  
Facsimile: (651) 736-3833



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: OUDERKIRK, ANDREW J.  
Application No.: 09/890482 Group Art Unit:  
Filed: July 26, 2001 Examiner:  
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**STATEMENT OF ADDED INVENTOR IN SUPPORT OF REQUEST  
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<u>May 2, 2003</u> Date	<u>[Signature]</u> Signed by:
Express Mail No.: EV 182335743 US	

Dear Sir:

I, John A. Wheatley, one of three inventors being added to the above-identified application, do hereby declare that my addition is necessitated by amendment of the claims and that the inventorship error occurred without any deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 4-30-03

[Signature]  
John A. Wheatley

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427  
Facsimile: (651) 736-3833



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PATENT TRADEMARK OFFICE

Patent  
Case No.: 53852US013

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: OUDERKIRK, ANDREW J.  
Application No.: 09/890482 Group Art Unit:  
Filed: July 26, 2001 Examiner:  
Title: INFRARED INTERFERENCE FILTER

**CONSENT OF ASSIGNEE 3M INNOVATIVE PROPERTIES COMPANY TO  
CORRECTION OF INVENTORSHIP IN ACCORDANCE WITH 37 C.F.R. § 1.48(c)**

Mail Stop: PCT  
Commissioner for Patents  
**ATTENTION: PCT LEGAL OFFICE**  
P.O. Box 1450  
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<i>May 2, 2003</i>	<i>J. R. Prady</i>
Date	Signed by:
Express Mail No.: EV 182335743 US	

Dear Sir:

The undersigned, a representative of 3M Innovative Properties Company (3M), represents that 3M is the owner of all of Applicants' entire right, title, and interest of Application Serial Number 09/890482 filed on July 26, 2001, for INFRARED INTERFERENCE FILTER, by virtue of an assignment from such Applicants Andrew J. Ouderkirk, Takashi Harada, Akira Yoda, Tatsuya Nakamura, Michael F. Weber, John A. Wheatley, Roger J. Strharsky, and Yoko Ishikawa (heir of Makoto Ishikawa, deceased), executed on January 8, 2002, and recorded at Reel No. 012692, Frame 0009.

The undersigned hereby certifies that the above-mentioned assignment has been reviewed and to the best of the undersigned's knowledge and belief, title is in 3M who is seeking to take this action.

By virtue of my position in the assignee, this undersigned represents that authority exists to sign this written consent on behalf of the assignee.

3M hereby consents to the correction of inventorship in connection with the above-identified application whereby the names of Michael F. Weber, John A. Wheatley, and Roger J. Strharsky are added as joint inventors.

3M INNOVATIVE PROPERTIES COMPANY

Date April 28, 2003 By: Carolyn A. Bates  
Carolyn A. Bates  
Assistant Secretary

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833



32692

PATENT TRADEMARK OFFICE

Patent  
Case No.: 53852US013

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: OUDERKIRK, ANDREW J.  
Application No.: 09/890482 Group Art Unit:  
Filed: July 26, 2001 Examiner:  
Title: INFRARED INTERFERENCE FILTER

**ASSOCIATE POWER OF ATTORNEY**

Mail Stop: PCT  
Commissioner for Patents  
**ATTENTION: PCT LEGAL OFFICE**  
P.O. Box 1450  
Alexandria, VA 22313-1450

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Mail Stop: PCT, Assistant Commissioner for Patents, Attention: PCT Legal Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
<u>May 2, 2003</u> Date	<u>Jay R. Pralle</u> Signed by:
Express Mail No.: EV 182335743 US	

Dear Sir:

As an attorney of record in the above-identified application with full power of appointment, substitution and revocation, I hereby nominate and appoint Jay R. Pralle, Reg. No. 52,131, as an attorney of record in addition to the attorneys previously of record in this application.

Please address all future correspondence in the above-identified patent application to Jay R. Pralle at the address associated with Customer Number.:



32692

PATENT TRADEMARK OFFICE

Respectfully submitted,

April 28, 2003

Date

By: Carolyn A. Bates

Carolyn A. Bates

Assistant Secretary

Telephone No.: (651) 733-1523

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

<b>DECLARATION AND POWER OF ATTORNEY, FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR § 1.63)</b>	Case No:	53852US013
	First Named Inventor:	Andrew J. Ouder Kirk
	<b>COMPLETE IF KNOWN</b>	
	Application No.:	09/890482
	Filing Date:	July 26, 2001
	Art Unit:	-
<input type="checkbox"/> Declaration Submitted with Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge 37 CFR § 1.16(e) required)	Examiner Name:	-

As a below named inventor, I hereby declare that my residence, mailing address, and citizenship are as stated below next to my name, and that I believe I am an original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**INFRARED INTERFERENCE FILTER**

The specification of which

☐ is attached hereto;

☒ was filed on **July 26, 2001**

As United States Application No.

**09/890,482**

☒ is identified as PCT International Application No.  
filed on

**January 28, 1999**

**WO 99/39224**

and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 USC §§ 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application No.	Country	Foreign Filing Date (MM/DD/YYYY)	Priority NOT Claimed	Certified Copy Attached	
				YES	NO
15626/98	Japan	01/28/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I hereby appoint Practitioners at Customer Number 36001 as my attorneys and/or agents with full powers (including the powers of appointment, substitution, and revocation) to prosecute this application and any division, continuation, continuation-in-part, reexamination, or reissue thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Customer Number for  
Practitioner of Record:



**36001**

PATENT TRADEMARK OFFICE

I also appoint the following Practitioners as my attorneys and/or agents with full powers (including the powers of appointment, substitution, and revocation) to prosecute this application and any division, continuation, continuation-in-part, reexamination, or reissue thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith: None




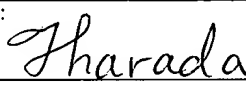
The mailing address and the telephone number of the above-identified attorneys and/or agents are that of Customer No. 32692.


Inquiries regarding this application can be made to:

Attention: Jay Pralle  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Telephone No.: (651) 733-6750

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<b>NAME OF SOLE OR FIRST INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): Andrew J.		Family Name or Surname: Ouderkirk
Inventor's Signature: 		Date: 4/30/03
Residence: Woodbury, Minnesota	Country: USA	Citizenship: United States of America
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): Takashi		Family Name or Surname: Harada
Inventor's Signature: 		Date: 4/16/03
Residence: Machida, Tokyo	Country: JAPAN	Citizenship: Japan
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): Akira		Family Name or Surname: Yoda
Inventor's Signature: 		Date: 4/17/03
Residence: Machida-city, Tokyo	Country: JAPAN	Citizenship: Japan
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): Tatsuya		Family Name or Surname: Nakamura
Inventor's Signature: <i>Tatsuya Nakamura</i>		Date: 4/18/03
Residence: Sagamihara-city, Kanagawa	Country: JAPAN	Citizenship: Japan
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): Makoto		Family Name or Surname: Ishikawa
<b>DECEASED</b>		
Residence: Yamato-city, Kanagawa	Country: JAPAN	Citizenship: Japan
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		

<b>NAME OF LEGAL REPRESENTATIVE OF THE DECEASED INVENTOR</b>		
<b>Makoto Ishikawa:</b>		
Given Name (first and middle [if any]): Yoko		Family Name or Surname: Ishikawa
Legal Representative's Signature: <i>YOKO ISHIKAWA</i>		Date: APR 15 2003
Residence: Yamato-city, Kanagawa	Country: JAPAN	Citizenship: Japan
Mailing Address: Tsukimino Sky Heights 606 14-3, Tsukimino 8-chome Yamato-city, Kanagawa, JAPAN		
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		

<b>DECLARATION AND POWER OF ATTORNEY, FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR § 1.63)</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge 37 CFR § 1.16(e) required)	Case No:	53852US013
	First Named Inventor:	Andrew J. Ouderkirk
	<b>COMPLETE IF KNOWN</b>	
	Application No.:	09/890482
	Filing Date:	July 26, 2001
	Art Unit:	-
	Examiner Name:	-

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As United States Application No.

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and was amended on (if applicable).

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Prior Foreign Application No.	Country	Foreign Filing Date (MM/DD/YYYY)	Priority NOT Claimed	Certified Copy Attached	
				YES	NO
15626/98	Japan	01/28/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I hereby appoint Practitioners at Customer Number 36001 as my attorneys and/or agents with full powers (including the powers of appointment, substitution, and revocation) to prosecute this application and any division, continuation, continuation-in-part, reexamination, or reissue thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Customer Number for  
Practitioner of Record:



**36001**

PATENT TRADEMARK OFFICE


I also appoint the following Practitioners as my attorneys and/or agents with full powers (including the powers of appointment, substitution, and revocation) to prosecute this application and any division, continuation, continuation-in-part, reexamination, or reissue thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith: None


The mailing address and the telephone number of the above-identified attorneys and/or agents are that of Customer No. 32692.

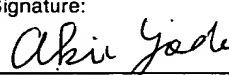
Inquiries regarding this application can be made to:

Attention: Jay Pralle  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Telephone No.: (651) 733-6750

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<b>NAME OF SOLE OR FIRST INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): <u>Andrew J.</u>		Family Name or Surname: <u>Ouderkirk</u>
Inventor's Signature: 		Date: <u>4/30/03</u>
Residence: <u>Woodbury, Minnesota MN.</u>	Country: <u>USA</u>	Citizenship: <u>United States of America</u>
Mailing Address: <u>P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA</u>		

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): <u>Takashi</u>		Family Name or Surname: <u>Harada</u>
Inventor's Signature: 		Date: <u>4/16/03</u>
Residence: <u>Machida, Tokyo J P X</u>	Country: <u>JAPAN</u>	Citizenship: <u>Japan</u>
Mailing Address: <u>P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA</u>		

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): <u>Akira</u>		Family Name or Surname: <u>Yoda</u>
Inventor's Signature: 		Date: <u>4/17/03</u>
Residence: <u>Machida-city, Tokyo J P X</u>	Country: <u>JAPAN</u>	Citizenship: <u>Japan</u>
Mailing Address: <u>P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA</u>		

4-00

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): <u>Tatsuya</u>		Family Name or Surname: <u>Nakamura</u>
Inventor's Signature: <i>Tatsuya Nakamura</i>		Date: <u>4/18/03</u>
Residence: <u>Sagamihara-city, Kanagawa J P X</u>	Country: <u>JAPAN</u>	Citizenship: <u>Japan</u>
Mailing Address: <u>P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA</u>		

5-00

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): <u>Michael F.</u>		Family Name or Surname: <u>Weber</u>
Inventor's Signature: <i>Michael F. Weber</i>		Date: <u>April 29, 2003</u>
Residence: <u>Shoreview, Minnesota MN.</u>	Country: <u>USA</u>	Citizenship: <u>United States of America</u>
Mailing Address: <u>P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA</u>		

6-00

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): <u>John A.</u>		Family Name or Surname: <u>Wheatley</u>
Inventor's Signature: <i>John A. Wheatley</i>		Date: <u>4-30-03</u>
Residence: <u>Lake Elmo, Minnesota MN.</u>	Country: <u>USA</u>	Citizenship: <u>United States of America</u>
Mailing Address: <u>P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA</u>		

7-00

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): <u>Roger J.</u>		Family Name or Surname: <u>Strharsky</u>
Inventor's Signature: <i>Roger J. Strharsky</i>		Date: <u>APRIL 29, 2003</u>
Residence: <u>Woodbury, Minnesota MN.</u>	Country: <u>USA</u>	Citizenship: <u>United States of America</u>
Mailing Address: <u>P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA</u>		

<b>NAME OF JOINT INVENTOR, IF ANY:</b>		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor.
Given Name (first and middle [if any]): <u>Makoto</u>	Family Name or Surname: <u>Ishikawa</u>	
<b>DECEASED</b>		
Residence: <u>Yamato-city, Kanagawa JPX</u>	Country: <u>JAPAN</u>	Citizenship: <u>Japan</u>
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		

<b>NAME OF LEGAL REPRESENTATIVE OF THE DECEASED INVENTOR</b>		
<b>Makoto Ishikawa:</b>		
Given Name (first and middle [if any]): <u>Yoko</u>	Family Name or Surname: <u>Ishikawa</u>	
Legal Representative's Signature: <u>YOKO ISHIKAWA</u>	Date: <u>APR 15 2003</u>	
Residence: <u>Yamato-city, Kanagawa JPX</u>	Country: <u>JAPAN</u>	Citizenship: <u>Japan</u>
Mailing Address: Tsukimino Sky Heights 606 14-3, Tsukimino 8-chome Yamato-city, Kanagawa, JAPAN		
Mailing Address: P.O. Box 33427, St. Paul, Minnesota 55133-3427, USA		